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OGSA™ Basic Security Profile 1.0 – Secure Channel

Status of This Memo

5 This memo provides a recommendation to the Grid community on how to secure interactions with OGSA services. The intention of this profile is to describe precisely the requirements placed on security mechanisms for communications of such services to ensure interoperability. Distribution is unlimited.

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15 **Abstract**

The growing number of Web services specifications makes it important to understand and define the interaction and use of these specifications to ensure interoperability. The WS-I Basic Security Profile 1.0 [**WS-I BSP 1.0**] defines a collection of normative profiles that provide guidance on issues of interoperability for secure communication of basic Web services based on such specifications.

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In the wider technical domain of distributed system management and grid computing, the OGSA WSRF Basic Profile 1.0 [**OGSA WSRF Basic Profile**] provides the first normative profile, addressing issues regarding the addressing, modeling and management of WS-Resources, but it does not address the details of the security aspects of interoperability issues.

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Therefore, in order to ensure the secure and interoperable interaction of Web services in the context of distributed resource management and grid computing, we define here the OGSA Basic Security Profile 1.0 – Secure Channel, a profile that is intended to be used along with one of the OGSA Basic Profiles, such as the OGSA WSRF Basic Profile 1.0 [**OGSA WSRF Basic Profile**] together with OGSA Basic Security Profile 1.0 – Core [**OGSA Basic Security Profile - Core**].

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The OGSA Basic Security Profile 1.0 – Secure Channel described in this document is an OGSA *Recommended Profile as Proposed Recommendation* as defined in the OGSA Profile Definition [**OGSA Profile Definition**]. The OGSA Basic Security Profile 1.0 – Secure Channel describes uses of widely accepted specifications that have been found to enable interoperability. The specifications considered in this profile are specifically those concerned with security of Web services: WS-I Basic Security Profile 1.0 [**WS-I BSP 1.0**] and its associated specifications. The requirements stated in this profile are concerned with security mechanisms for communications to ensure mutual authentication, integrity and confidentiality; the profile prescribes the use of these mechanisms to ensure secure communication of OGSA services in an inherently unsafe environment such as the Internet.

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40 Contents

	OGSA™ Basic Security Profile 1.0 – Secure Channel.....	1
	Abstract	1
	1 Introduction	3
45	1.1 Profile Overview	3
	1.2 Relationships to Other Profiles.....	3
	1.3 Notational Conventions.....	4
	1.4 Profile Identification and Versioning	4
	2 Profile Conformance	4
50	2.1 Conformance Targets	4
	2.2 Claiming Conformance	4
	3 Basic Security Profile	5
	3.1 Secure Communication	5
	3.2 Transport Layer Security	5
55	3.3 Authentication.....	6
	Author Information.....	6
	Contributors	6
	Acknowledgements	6
	Intellectual Property Statement.....	6
60	Full Copyright Notice.....	6
	Normative References	7
	Non-Normative References	7
	Appendix A. Referenced Specifications.....	9
	Appendix B. Extensibility Points.....	10
65	Appendix C. Referenced Specification Status and Adoption Level Classification.....	11

1 Introduction

70 This document defines the OGSA Basic Security Profile 1.0 – Secure Channel (hereafter, "the Profile"). The phrase "Secure Channel" means a secure transport layer protocol with mutual authentication, integrity and confidentiality attributes. The Profile defines a Web services security profile along with clarifications, refinements, interpretations and amplifications of the underlying specifications that promote interoperability among implementations of those specifications in the context of OGSA services.

Section 1 introduces the Profile, and explains its relationships to other profiles.

75 Section 2, "Profile Conformance," explains what it means to be conformant to the Profile.

Section 3 addresses a component of the Profile, and consists of two parts: an overview detailing the component profiles and their extensibility points, followed by subsections that address individual parts of the component profiles. Note that there is no relationship between the section numbers in this document and those in the referenced profiles.

80 1.1 Profile Overview

The Profile is intended for use when securing interactions between services that are concerned with distributed resource management, grid computing, or other purposes that involve the modeling and management of stateful entities as profiled by one of the OGSA Basic Profiles, such as the OGSA WSRF Basic Profile 1.0 [**OGSA WSRF Basic Profile**].

85 These services can benefit from the use of security mechanisms for communication defined in the WS-I Basic Security Profile 1.0 [**WS-I BSP 1.0**]. The Profile defines a set of conformance statements in order to ensure interoperability when using transport layer security for secure interactions between these services based on those profiles. A service implementation that is conformant with the Profile and with the OGSA WSRF Basic Profile 1.0 may be said to be an
90 "implementation of the OGSA Basic Security Profile 1.0 – Secure Channel" as well as an "implementation of the OGSA WSRF Basic Profile 1.0."

The primary issues addressed in the Profile are as follows:

- *Mutual Authentication*. The Profile mandates the use of a secure transport layer protocol to ensure mutual authentication of both ends of a Web service communication.
- 95 • *Integrity*. The Profile mandates the use of a secure transport layer protocol to ensure data integrity while communicating with Web services.
- *Confidentiality*. The Profile mandates the use of a secure transport layer protocol to ensure confidentiality of a Web service communication.

This is not a complete list; see the sections that follow for details.

100 Although the WS-I Basic Security Profile defines a security mechanism based on Web Services Security: SOAP Message Security 1.0 [**WS-Security**] (Message Level Security), the Profile does not specify anything about its use. This topic is out of scope of the Profile, but is expected to be addressed by other security profiles.

1.2 Relationships to Other Profiles

105 This Profile extends the WS-I Basic Security Profile 1.0 [**WS-I BSP 1.0**], in particular section 4, "Transport Layer Security." All requirements specified in WS-I BSP 1.0 pertain to this Profile.

The Profile addresses mutual authentication, integrity and confidentiality of communications of OGSA services, which are profiled by one of the OGSA Basic Profiles, such as the OGSA WSRF Basic Profile 1.0 [**OGSA WSRF Basic Profile**]. Another security issue which is considered to be
110 common to all OGSA services, key information binding to an endpoint reference, is addressed in OGSA Basic Security Profile 1.0 – Core [**OGSA Basic Security Profile - Core**]. Thus the Profile should be used in conjunction with the OGSA Basic Security Profile 1.0 – Core [**OGSA Basic Security Profile - Core**].

1.3 Notational Conventions

115 The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC2119 [RFC2119].

Normative statements of requirements in the Profile are presented in the manner detailed in the WS-I Basic Profile 1.1 Conformance Requirements section.

120 Both requirement statements and extensibility statements can be considered namespace-qualified.

This specification uses a number of namespace prefixes throughout; their associated URIs are listed below. Note that the choice of any namespace prefix is arbitrary and not semantically significant.

125 **Table 1 Namespaces used by OGSA Security Profile 1.0 – Secure Channel**

Prefix	Namespace
wSDL	http://schemas.xmlsoap.org/wSDL

This Profile uses a number of special terms to refer to referenced specifications:

- **Basic-Security-Profile** – WS-I Basic Security Profile 1.0 [WS-I BSP 1.0]
- **HTTP-TLS** – HTTP Over TLS [HTTP-TLS]
- 130 • **TLS-Protocol** – The TLS Protocol Version 1.0 [TLS 1.0]

1.4 Profile Identification and Versioning

Profile identification and versioning uses the style described in WS-I Basic Profile 1.1 and abides by the normative descriptions contained therein. The name of this Profile is "OGSA Basic Security Profile – Secure Channel" and its version number is "1.0."

135 2 Profile Conformance

Conformance to the Profile is defined normatively in WS-I Basic Profile 1.1. This Profile abides by those definitions.

2.1 Conformance Targets

140 Since the Profile is an extension of the WS-I Basic Profile 1.1 it may place further restrictions on conformance targets defined in WS-I Basic Profile 1.1.

The following conformance targets are used in the Profile:

- **INSTANCE** – software that implements a wSDL:port (from WS-I Basic Profile 1.1, without "bindingTemplate" from the namespace urn:uddi-org:api_v2)
- **CONSUMER** – software that invokes an INSTANCE (from WS-I Basic Profile 1.1)
- 145 • **SENDER** – software that generates a particular message according to the protocol(s) associated with that message (from WS-I Basic Profile 1.1)
- **RECEIVER** – software that consumes a message according to the protocol(s) associated with that message (e.g., SOAP processors) (from WS-I Basic Profile 1.1)

2.2 Claiming Conformance

150 Claims of conformance to the Profile are the same as normatively described in WS-I Basic Profile 1.1 [WS-I BP 1.1].

The conformance claim URI for this Profile is <http://www.ggf.org/ogsa/2006/01/bsp-sc>.

3 Basic Security Profile

155 This section of the Profile incorporates the following specification by reference, and defines extensibility points within it:

- WS-I Basic Security Profile Version 1.0 [**WS-I BSP 1.0**] extensibility points:
 - **E0301 – TLS Ciphersuites** – TLS allows for the use of arbitrary encryption algorithms.
 - 160 ○ **E0302 – TLS Extensions** – TLS allows for extensions during the handshake phase.
 - **E0303 – SSL Ciphersuites** – SSL allows for the use of arbitrary encryption algorithms.
 - **E0304 – Security Tokens** – Security tokens may be specified in additional security token profiles.
 - 165 ○ **E0305 – Certificate Authority** – The choice of the Certificate Authority is a private agreement between parties.
 - **E0306 – Certificate Extensions** – X.509 allows for arbitrary certificate extensions.

3.1 Secure Communication

170 The Profile defines a set of conformance statements for the use of TLS (Transport Layer Security) as a mean of securing communication. The objective of the use of this security mechanism is to secure interactions between services, and this Profile places the following constraints on its use.

3.1.1 Using Transport Layer Security as a mean of Secure Communication

175 All messages are subject to interference and corruption during transmission. To mitigate the risks of intentional or accidental modification to, or disclosure of, message data, the Profile defines the following constraints with regard to transmitting messages.

R0301 An *INSTANCE* **MUST** support Transport Layer Security as profiled in section 3.2 of this Profile.

180 **R0302** A *CONSUMER* **MUST** support Transport Layer Security as profiled in section 3.2 of this Profile.

3.2 Transport Layer Security

The Profile defines a profile for the use of TLS as an underlying protocol for message transmission. The Profile places the following constraints on its use.

3.2.1 SSL and TLS

185 When using the TLS protocol as an underlying protocol for message transmission, the Profile places the following constraints on its use.

190 **R0303** When establishing an HTTP connection with Transport Layer Security a *SENDER* **MUST** use HTTP over TLS [**HTTP-TLS**] as profiled by Basic-Security-Profile section 4 and section 10.

R0304 When establishing an HTTP connection with Transport Layer Security a *RECEIVER* **MUST** use HTTP over TLS [**HTTP-TLS**] as profiled by Basic-Security-Profile section 4 and section 10.

195 **R0305** When establishing a non-HTTP connection with Transport Layer Security a *SENDER* **MUST** use the SSL or TLS Protocol [**TLS 1.0**] and be compliant with Basic-Security-Profile section 4 and section 10.

R0306 When establishing a non-HTTP connection with Transport Layer Security a *RECEIVER* **MUST** use the SSL or TLS Protocol [**TLS 1.0**]

200 *and be compliant with Basic-Security-Profile section 4 and section 10.*

3.3 Authentication

In order to provide both authorization and auditing of both parties in an interaction, this Profile requires mutually authenticated Web services communication.

205 3.3.1 Authentication

The Profile prohibits anonymous communication and requires mutual authentication. This profile places the following constraints on authentication.

R0317 *When establishing a secure communication, a CONSUMER MUST authenticate itself as part of the SSL or TLS connection.*

210 **R0318** *When establishing a secure communication, an INSTANCE MUST authenticate itself as part of the SSL or TLS connection.*

Author Information

215 Takuya Mori
 NEC Corporation
 2-11-5 Shibaura
 Minato, Tokyo 108-8557
 Email: <moritaku@bx.jp.nec.com>

220 Frank Siebenlist
 Math & Computer Science Division
 Argonne National Laboratory
 Argonne, IL 60439
 Email: <franks@mcs.anl.gov>

Contributors

225 We gratefully acknowledge the contributions made to this specification by Abdeslem Djaoui, Ian Foster, Hiro Kishimoto, Sam Meder, Tom Maguire, Andreas Savva, David Snelling, Jem Treadwell, and Latha Srinivasan.

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Normative References

- **[RFC2119]** S. Bradner (ed.): Key words for use in RFCs to Indicate Requirement Levels, The Internet Engineering Task Force Best Current Practice, March 1997.
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- **[HTTP-TLS]** E. Rescorla (ed.): HTTP Over TLS, Internet Engineering Task Force, May 2000. <http://www.ietf.org/rfc/rfc2818>
- **[TLS 1.0]** T. Dierks, C. Allen (ed.): The TLS Protocol Version 1.0, Internet Engineering Task Force, January 1999. <http://www.ietf.org/rfc/rfc2246>
- **[WS-I BP 1.1]** K. Ballinger, D. Ehnebuske, C. Ferris, M. Gudgin, C.K. Liu, M. Nottingham, and P. Yendluri (ed.): Basic Profile Version 1.1, Web Services Interoperability Organization Final Material, 24 August 2004. <http://www.ws-i.org/Profiles/BasicProfile-1.1.html>
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- **[WS-I BSP 1.0]** A. Barbir, M. Gudgin, M. McIntosh, and K.S. Morrison (ed.): Basic Security Profile Version 1.0, Web Services Interoperability Organization, Working Group Draft, 29 August 2005. <http://www.ws-i.org/Profiles/BasicSecurityProfile-1.0-2005-08-29.html>
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Non-Normative References

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- **[WS-Security]** A. Nadalin, C. Kaler, P. Hallam-Baker and R. Monzillo (ed.): Web Services Security: SOAP Message Security 1.0 (WS-Security 2004), OASIS Standard, 200401, March 2004. <http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-message-security-1.0.pdf>
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- **[OGSA Basic Security Profile - Core]** T. Mori and F. Siebenlist: OGSA Basic Security Profile 1.0 – Core, Global Grid Forum OGSA-WG, Draft, 31 January 2006.
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Appendix A. Referenced Specifications

300 The following specifications' requirements are incorporated into the Profile by reference, except where superseded by the Profile:

- Basic Profile 1.1 [**WS-I BP 1.1**]
- Basic Security Profile Version 1.0 [**WS-I BSP 1.0**]
- HTTP Over TLS [**HTTP-TLS**]
- The TLS Protocol Version 1.0 [**TLS 1.0**]

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Appendix B. Extensibility Points

This section identifies extensibility points for the Profile's component specifications. These mechanisms are out of the scope of the Profile; their use may affect interoperability, and may require private agreement between the parties to a Web service.

310 In WS-I Basic Security Profile 1.0 **[WS-I BSP 1.0]**:

- **E0301 – TLS Ciphersuites** – TLS allows for the use of arbitrary encryption algorithms.
- **E0302 – TLS Extensions** – TLS allows for extensions during the handshake phase.
- **E0303 – SSL Ciphersuites** – SSL allows for the use of arbitrary encryption algorithms
- **E0304 – Security Tokens** – Security tokens may be specified in additional security token profiles.
- 315 • **E0305 – Certificate Authority** – The choice of the Certificate Authority is a private agreement between parties.
- **E0306 – Certificate Extensions** – X.509 allows for arbitrary certificate extensions

320 **Appendix C. Referenced Specification Status and Adoption Level Classification**

The classification of this Profile's referenced specifications at the time of writing is shown in Table 2.

Table 2 Status of specifications referenced by OGSA Basic Security Profile 1.0 - Secure Channel

OGSA Referenced Specifications: OGSA Basic Security Profile 1.0 - Secure Channel														
September 1, 2005	Status						Adoption					Note		
Specification/Profile Name	De Facto	Institutional	Evolving Institutional	Draft Institutional	Consortium	Evolving Consortium	Draft	Ubiquitous	Adopted	Community	Interoperable		Implemented	Unimplemented
Specifications														
Profiles														
WS-I Basic Security Profile 1.0	<	X						---	---	---	---	---	---	Working Group Draft
Legend:	X	Specification or profile is currently at this status or adoption level												
	<	Specification or profile is approaching this status or adoption level												
	---	Status or adoption level is not applicable												

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