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## **Guidelines for Information Modeling for OGSA™ Entities**

### Status of This Document

This memo provides information to the Grid community on information modeling for OGSA (Open Grid Services Architecture) entities. It has recommendations on the process of developing information models for OGSA entities and how to express these models in OGSA specifications. It does not define any standards or technical recommendations. Distribution is unlimited.

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### Abstract

An information model is an important and fundamental piece of the OGSA architecture since it provides consistent semantic meaning for entities on the architecture. This allows the integration and interoperability of the multiple services and multiple kinds of resources participating in an OGSA system. This document contains a process to create information models for OGSA entities based on methodologies used with the Common Information Model (CIM) of the Distributed Management Task Force (DMTF). The process in this document is based on years of experience in the Global Grid Forum and tested through a proof-of-concept study. This document explains the steps on the modeling process, division of tasks within the OGF, and coordination of work between the OGF and the DMTF. Finally, the appendixes contain an introduction to CIM and to the DMTF.

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## 29 **1. Introduction**

30 OGSA services span multiple areas (execution management, data services, security services,  
31 etc.) and multiple activities in these areas (reservation, brokering, scheduling, provisioning,  
32 metering, control, etc.) over multiple kinds of resources (hosts, network devices, file systems,  
33 activities, etc.) and services [1, 2, 3]. Concepts such as “what is a host” or “what is processing  
34 load” need to have consistent semantic meaning in order to unify the architecture. Information  
35 models define such concepts by defining entities, their properties, operations, events, and their  
36 relationships with each other. An information model for OGSA entities allows the integration and  
37 interoperability of the services and resources participating in an OGSA system, and is  
38 consequently an important and fundamental piece of the architecture.

39 This document explains the process used to create information models for OGSA entities. This  
40 process is based on methodologies used with the Common Information Model (CIM) of the  
41 Distributed Management Task Force (DMTF), and consists of selecting, re-using and extending a  
42 small subset of CIM to develop information models (please see the appendixes for an introduction  
43 to the DMTF and CIM). It also gives guidelines on how to express information models in OGSA  
44 specifications.

45 The process in this document is based on years of experience in developing information models  
46 based on CIM in the Global Grid Forum (GGF). Especially, this process has been tested for  
47 OGSA through a proof-of-concept study described in [4] that created part of the information  
48 model for the Execution Management Services.

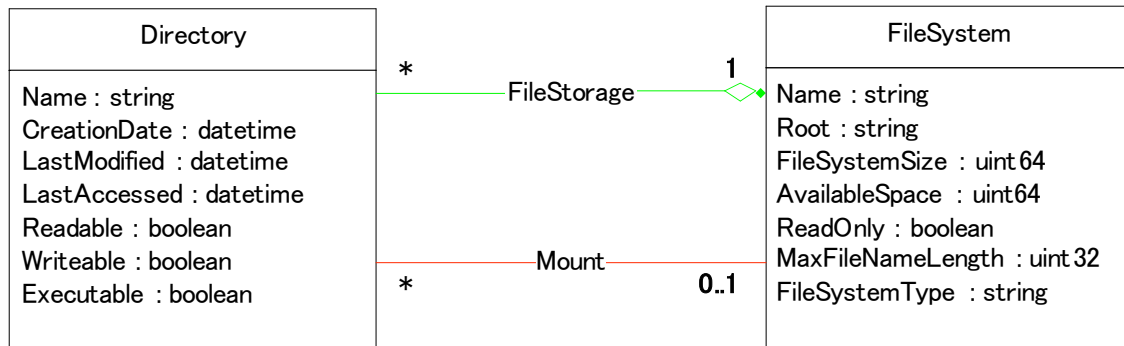
49 This document contains a general explanation that is applicable to all OGSA capabilities  
50 (execution management, data, security, etc.). Information modeling for specific capabilities  
51 requires, in addition to the contents of this document, capability-specific knowledge which is not  
52 covered by this document.

### 53 **1.1 What Is an Information Model**

54 An information model is abstraction and representation of entities in a data processing  
55 environment. It defines the entities, and also their properties, operations and relationships. This  
56 definition can use an informal natural language such as English, and/or a formal language such  
57 as UML. An information model is independent of any specific implementations, platforms,  
58 protocols, or repositories. For instance, CIM itself is an information model – it is simply a UML  
59 model, with textual descriptions of its contents defined in MOF (Manageable Object Format) files.

60 An example of a part of an information model represented in UML is shown in Figure 1 (this  
61 example is purely illustrative and does not correspond to actual CIM classes or to an information  
62 model used for OGSA entities). It contains two classes for two kinds of entities, Directory and  
63 FileSystem. Each of them has a series of attributes, such as Name, and each attribute has a  
64 type. The classes are just a generic representation, and there might be multiple entities  
65 (instances) for a class. For example, there might be multiple file systems in a computer; each of  
66 these is an instance of FileSystem, but all are represented by the same FileSystem class.

67 There are two kinds of relationships between Directory and FileSystem. First, there is an  
68 association called Mount that links a Directory instance with a FileSystem instance mounted  
69 under it. There is also a FileStorage aggregation that contains all Directory instances in a  
70 FileSystem instance. The information model contains also the cardinalities for this association  
71 and aggregation (e.g., a Directory may have zero or one FileSystems mounted under it; a  
72 FileSystem may be mounted under zero or more Directories). While not obvious from this  
73 example, these relationships are useful for discovery of entities and system structure.



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**Figure 1: An example of part of an information model**

76 In contrast with an information model, a *data model* is a representation of the information model  
 77 in a given language, and/or a specification of how to transmit and access the information model  
 78 on the wire. Thus, a data model allows the information model to be conveyed. To this end, a  
 79 data model renders an information model according to a specific set of mechanisms for  
 80 representing, organizing, storing data. The data model may also define operations that can be  
 81 applied to the representation, such as data retrieval and update, enumeration of entities, etc.  
 82 Finally, a data model may also define the legal states (set of values) or changes of state  
 83 (operations on values). For instance, CIM has a data model composed by an XML representation  
 84 and an HTTP mapping. Multiple data models may exist for a given information model. CIM has  
 85 also a data model based on the WS-CIM standard that can be used with WSDM and WS-  
 86 Management (see <http://www.dmtf.org/standards/wbem/> for details).

87 An example of a part of a data model is shown in Figure 2, which is a XML Schema (see  
 88 <http://www.w3.org/XML/Schema>) representation for FileSystem and Mount in Figure 1. Part of  
 89 the XML representation for their instances is shown in Figure 3. Again, these examples are  
 90 purely illustrative. This example assumes that instances can be addressed by Endpoint  
 91 References (EPRs, see <http://www.w3.org/TR/ws-addr-core>), so the Mount association uses  
 92 EPRs to point to the instances of Directory and FileSystem.

93

94 `<xs:schema ...>`95 `...`96 `<xs:element name="FileSystem">`97 `<xs:complexType>`98 `<xs:sequence>`99 `<xs:element name="Name" type="xs:string"/>`100 `<xs:element name="Root" type="xs:string"/>`101 `<xs:element name="FileSystemSize" type="xs:unsignedLong"/>`102 `<xs:element name="AvailableSpace" type="xs:unsignedLong"/>`103 `<xs:element name="ReadOnly" type="xs:boolean"/>`104 `...`105 `</xs:sequence>`106 `</xs:complexType>`107 `</xs:element>`108 `...`109 `<xs:element name="Mount">`110 `<xs:complexType>`111 `<xs:sequence>`112 `<xs:element name="Antecedent" type="wsa:EndpointReferenceType"/>`113 `<xs:element name="Dependent" type="wsa:EndpointReferenceType"/>`114 `</xs:sequence>`115 `</xs:complexType>`116 `</xs:element>`117 `...`118 `</xs:schema>`

119 **Figure 2: An example of part of a data model**

```

120 <FileSystem>
121     <Name>DataCDROM</Name>
122     <Root>/media/cdrecorder</Root>
123     <FileSystemSize>314572800</FileSystemSize>
124     <AvailableSpace>0</AvailableSpace>
125     <ReadOnly>true</ReadOnly>
126     ...
127 </FileSystem>
128 ...
129 <Mount>
130     <Antecedent>
131     ... <!-- EPR of the instance of Directory -->
132     </Antecedent>
133     <Dependent>
134     ... <!-- EPR of the instance of FileSystem -->
135     </Dependent>
136 </Mount>

```

137 **Figure 3: Instances represented in the data model**

138 The term *resource model* implies both information and data models and thus is often confusing;  
 139 this term is now deprecated in OGSA nomenclature. The terms *semantics* and *rendering* used so  
 140 far in OGSA modeling correspond respectively to “information model” and “data model”; these  
 141 terms are also deprecated to simplify the nomenclature and improve clarity. Finally, it must be  
 142 noticed that the definitions of information and data model above match RFC 3198 [5] but do not  
 143 match RFC 3444 [6].

144 **1.2 Why an Information Model Is Needed**

145 As mentioned above, an information model is important to provide consistent meaning to entities,  
 146 their properties and inter-relationships. However, it is easier to understand such a statement by  
 147 an example in which this consistency does *not* exist. Assume that a job manager is consulting a  
 148 resource selection service to find a suitable place to run a job:

- 149 • If the job manager asks for the host with lowest “processing load” assuming it means the  
 150 average number of processes in the last 15 minutes, and the selection service makes the  
 151 choice based on a different interpretation of processing load (e.g., instant CPU load), the  
 152 selected host will often not be the one expected by the job manager.
- 153 • If the job manager requests all hosts with 1 GB or more of “free memory” assuming it  
 154 includes memory currently used as cache that can be re-used for program data, and the  
 155 selection service assumes that free memory is totally unused memory, the chances of a  
 156 match will be reduced.

157 The examples above are intentionally simple and they trivialize the problem since they only cover  
 158 properties. Bigger problems will happen if there is no common understanding of the entities (e.g.,  
 159 if a host can be virtual or only physical, and to which of these “processing load” and “free  
 160 memory” apply), and their relationships (e.g., whether job queues are related to sites, clusters,  
 161 sub-clusters or hosts).

162 The information model is what provides a common unambiguous understanding of the entities,  
 163 their properties and inter-relationships, and consequently allows interoperability in exchanges of  
 164 this information between services, between clients and services, and between services and  
 165 resources. This makes possible the integration and interoperability of the services and resources  
 166 participating in an OGSA system.

167 **1.3 Relationship Between Information and Data Models**

168 Information and data models will be present in multiple OGSA interfaces. They should appear  
 169 prominently in the interfaces of the information services (which should organize and provide data

170 according to the information and data models) and manageability interfaces. However, they will  
171 sometimes be present in functional interfaces: for example, an interface to retrieve job status will  
172 return one of a set of possible states that are defined by an information model, and return this  
173 state in a format defined by a data model. The fact that classifications on  
174 functional/manageability interfaces or “information services” are often imprecise or overlapping  
175 does not change the premises above.

176 Care must be taken to avoid interoperability problems among the multiple services using  
177 interfaces related to information and data models. Information models contain the meaning of the  
178 representation of entities, and thus they are more important in achieving interoperability than data  
179 models: translating between two data models of a single information model is not a difficult  
180 problem, but translating between two different information models is likely to be complex. For  
181 instance, in different information models a fan may be a physical or a logical entity; it may be  
182 classified under chassis, cooling devices, enclosure services or physical packaging; or it may  
183 have similar properties, such as a status, which have different value sets. Automatic translation  
184 between information models cannot be done unless these semantics are matched. An example  
185 of this matching is the mapping between Globus and UNICORE resources being done as part of  
186 the GRIP project [7] (see also <http://www.grid-interoperability.org>). Also, CIM has mechanisms to  
187 map its schema to those of other information models [8].

188 The *target* for OGSA entities should be:

- 189 • One information model, in order to unify the concepts in the whole architecture and avoid  
190 translation of semantics.
- 191 • One “main” data model per basic profile. There should be as much commonality between  
192 these data models as possible, e.g., common XML schemas across basic profiles, and  
193 common parts to the WSDL to access the information, to simplify translation. Programmatic  
194 translation from the information model to the data model is also desirable. However, specific  
195 data models may be created for functional interfaces. This is not critical since they are often  
196 specific to a given capability and/or can be later mirrored in a manageability interface using  
197 the “main” data model.

198 It is expected that work in progress on the DMTF such as WS-CIM should become a good basis  
199 for a “main” data model to use in OGSA interfaces, however such a data model is currently an  
200 open problem. This does not delay the development of functional interfaces, which can continue  
201 using specific data models.

202 This document is concerned only with information models. It mentions how the information model  
203 is surfaced as data models in OGSA services and interfaces; however it does not prescribe  
204 where and how to create data models.

## 205 **2. Modeling Process**

206 The use of the CIM methodology as a starting point for the creation of information models for a  
207 specific area of the architecture implies the following work:

- 208 • Creating an initial proposal for the information model, possibly using existing information  
209 models as a reference;
- 210 • Selecting which parts of the schema to use for this area of the architecture—i.e., creating a  
211 profile for this area;
- 212 • Creating extensions if and where needed.

213 These activities are detailed in the following sections.

### 214 **2.1 Creation of Initial Proposal**

215 It is very useful to start the modeling process by the creation of an initial proposal. This proposal,  
216 still informal, identifies what is in the domain of this specific model, gives an idea of the work in  
217 the following phases, and identifies portions of CIM to use, change and extend. This proposal

218 also helps to start the discussions on modeling and start collaboration with the DMTF, and also  
219 on model requirements with related Working Groups (WGs).

220 This initial profile will often involve comparing CIM to existing Grid-related information models and  
221 creating mappings between them. This work:

- 222 • Aids in finding features in these models that are not yet in CIM, which then become  
223 candidates for extensions.
- 224 • Provides interoperability with work that has been completed, such as GLUE (see  
225 <http://glueschema.forge.cnaf.infn.it/> and current work in OGF's GLUE-WG).
- 226 • Provides synchronization of the specifications being compared and unification for work in  
227 progress in the OGF.

228 It must be noticed that this comparison and mapping work is not restricted to models such as  
229 GLUE and the UNICORE Resource Schema [7]. This work also applies to specifications that  
230 contain data models with an implicit information model. JSDL (Job Submission Description  
231 Language) v1.0 [9] is an example of such a specification. Mapping between CIM and Grid-  
232 related standards can make use of the mechanisms in CIM to map it to other models [10].

## 233 2.2 Creation of Profiles

234 CIM was never intended to be used as a whole, and in practice never *is* used as a whole; instead,  
235 CIM profiles are used to define which parts of the model are used for specific areas. These  
236 profiles specify which CIM classes, properties and methods to use, map these to entities, and  
237 provide guidance on their usage. OGSA entities are no exception: coverage is needed for the  
238 ones that are in scope for OGSA specifications. OGSA entities are often an abstraction of real  
239 entities, so the higher level of abstraction is in scope but the details are not. For example, a file  
240 system and its attributes are within scope, but knowing that it is accessed through a specific  
241 adapter in the third slot of the second expansion bus is not. The strategic use of profiles reduces  
242 the view of CIM to a small subset that is meaningful for OGSA entities.

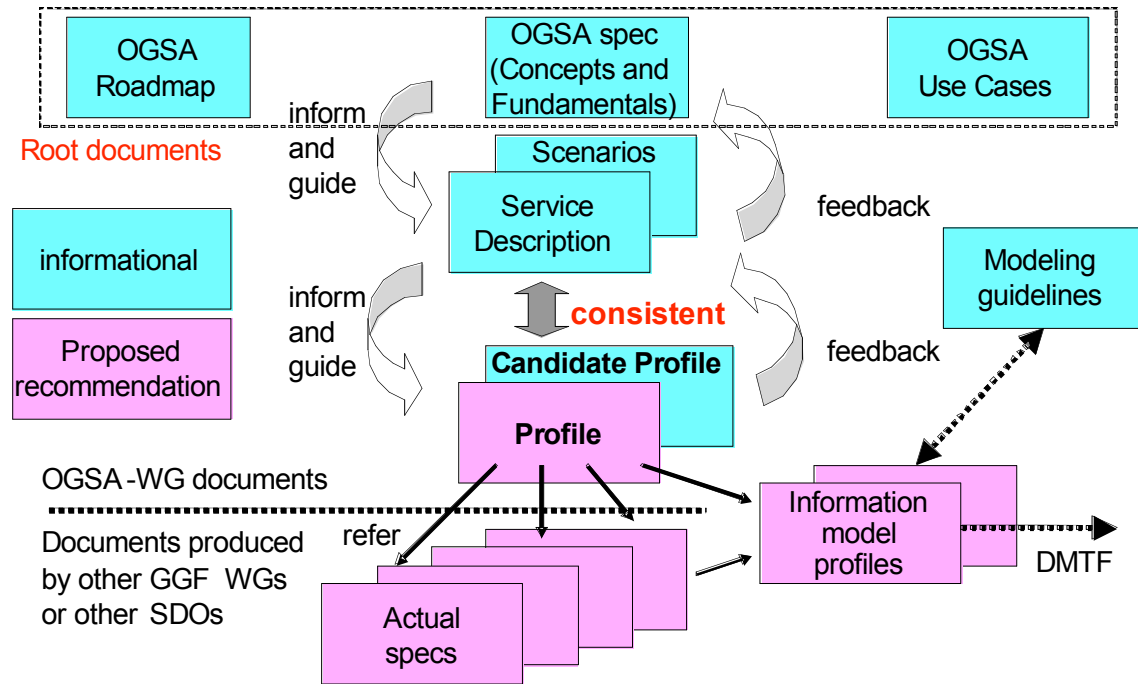
243 Profiling brings several advantages compared to the development of a new model:

- 244 • It allows faster definition of the model. Although an information model seems simple and  
245 obvious after it is complete, modeling is time-consuming work that can often takes years  
246 even for the definition of just a handful of classes. The re-use of existing CIM classes  
247 through profiling saves time by leveraging all the model development (discussions, spec-  
248 writing, testing, etc.) already done in the DMTF, and the use experience.
- 249 • When information models are defined for new OGSA areas, there is no need for integration  
250 with, or retrofitting of, previously created profiles since the classes are already integrated in  
251 CIM.

252 In OGSA specifications one should expect one or more profiles to be created for each major area  
253 of the architecture (execution management, data, etc.). OGSA profiles related to information  
254 models are called "Information Model Profiles," and follow the same rules of other OGSA profiles.  
255 These profiles are referenced from the specifications contained in these OGSA profiles as shown  
256 in Figure 4. Given that the different specifications will not be developed simultaneously even  
257 within a single OGSA major area, the creation of information model profiles will be done in a  
258 piecewise fashion, selecting the model as the work of each OGSA capability progresses, and in a  
259 bottom-up fashion, starting from more basic entities.

260 OGSA profiles may also refer to OGSA information model profiles in case the information model  
261 applies to the whole profile. A possible example is the definition of a manageability interface for  
262 some of the entities in the profile.

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**Figure 4: OGSA specification structure with information model profiles. This document corresponds to the “Modeling guidelines.”**

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The information model profiles may be created by the OGSA-WG or by other WGs creating OGSA specifications. However, it is expected that an information model profile will contain entities in multiple OGSA specifications, so the general case should be their creation by the OGSA-WG, collecting entities from these specifications and adding other entities that might be needed. Also, the OGSA-WG should act as the coordinator of information modeling activities in the architecture, to avoid inconsistencies in the overall OGSA information model for different specifications and/or different areas of the architecture.

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### 2.3 Creation of Extensions

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While CIM already models a wide range of entities, it does not cover all the needs of OGSA entities, so extensions will be created where needed. Also, OGSA specifications will continue to be extended and refined for years, and these changes will probably require additions to the model.

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Similarly to the work on profiling, these extensions will sometimes be created by the OGSA-WG, but in some cases may also be created by WGs developing OGSA-related specs.

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Extensions should be created in collaboration between the OGF and the DMTF, with the OGF providing the area expertise and the DMTF providing the modeling (and CIM model) expertise. This addresses the issue of the complexity of CIM and the lack of knowledge and experience with CIM by OGF WGs. The DMTF will be mainly responsible for the development of CIM and all parts thereof. The OGF WGs will be mainly responsible for delineating the needs of their specifications.

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While any extensions to CIM created for OGSA entities can be left as OGF-only standards (becoming thus OGF specifications, and OGF “proprietary” extensions to CIM), it is strongly recommended that these extensions are submitted to the DMTF, and referenced from OGF specifications. This allows the integration of the OGSA extensions in the wider CIM schema, and prevents incompatibilities that could result from further CIM extensions. These submissions should be done within the collaboration between the DMTF and the OGF, and moved through the standardization process in the DMTF by the DMTF participants, as explained in Section 4.

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Extensions should be defined in OGF documents, either informational or recommendations, to have them reviewed by the OGF membership. Adding the extensions to information model



295 profiles makes reviewing easier and allows the profiles to provide context on the extensions.  
296 These documents are used as change request documents in the DMTF, through the process  
297 explained in Section 4. In case the extensions are defined as OGF informational documents, the  
298 OGSA profiles and information model profiles should refer to the extensions on DMTF  
299 specifications after they are adopted by the DMTF.

### 300 **3. Roles and Responsibilities**

301 There are multiple groups involved in the work above in profiling, extending and comparing  
302 information models. This section explains the roles and responsibilities of these groups.

303 The development of the models requires both area expertise (i.e., expertise on the entities,  
304 attributes and relationships) and modeling expertise (i.e., expertise on CIM, on how to create a  
305 model and correlate it to existing work). Therefore, the modeling work requires participation from  
306 the OGF workgroups and design teams, which provide the area expertise (e.g., requirements),  
307 and from the DMTF, which provides modeling expertise. Conversely, this organization also  
308 eliminates the need for the workgroups to have modeling expertise (especially, knowledge of  
309 CIM), which could be a big barrier to development.

310 The modeling work is an iterative process among all parties, starting from the initial proposal and  
311 gradually progressing to the final specification. New versions of a given specification may be  
312 created as work progresses on a given OGSA capability. These updates should modify the  
313 information model in a way that is backwards-compatible (see section 2.3 of [10] for a list of such  
314 modifications).

#### 315 **3.1 Resource Management Design Team and OGSA Workgroup**

316 The Resource Management (RM) design team of the OGSA-WG acts as coordinator of  
317 information modeling for OGSA entities, and also in some cases develops the models. To this  
318 end it works in collaboration with both the OGF workgroups and also with the DMTF.

319 The RM design team determines which profiles are needed. These profiles should align with the  
320 work in a given OGSA capability, e.g., with the specifications in development or expected  
321 boundaries of implementation and use.

322 Given that the RM design team coordinates model development, it can provide the linkage  
323 between the information model profiles, which is needed for integration of the information model  
324 not only within but also between OGSA capabilities. It must be noticed that CIM quite probably  
325 already provides this linkage, and as new parts of CIM are selected, the linkage with already-  
326 selected parts of CIM should also be selected. The centralized work is necessary also for a  
327 broad evolutionary view of the information model—for example, making possible the addition of  
328 more entities in different OGSA capabilities as work on OGSA progresses.

#### 329 **3.2 OGF Workgroups and Design Teams**

330 There are two possible scenarios for WGs. The first one is the preferred case, in which a WG  
331 includes the development of information models (or possibly a candidate information model) in its  
332 scope. In this case this WG can collaborate directly with the DMTF in the creation of information  
333 models. However, participation from the RM design team is still needed as the coordinator of  
334 information modeling for OGSA entities. In case a WG creates the information model together  
335 with a specification, the information model profile should be written separately to ease the  
336 collaboration with the DMTF.

337 In the second case information models for a given area are not in scope of the specifications of  
338 any related WG. In this case, either the RM design team develops the information model or a  
339 spin-off WG is created to do the modeling. However, the related working groups have the  
340 knowledge of what entities, attributes, relationships, etc. are needed—i.e., knowledge of the  
341 requirements on the information model. So while these WGs will not develop information models  
342 themselves, they should provide these requirements.

343 The specifications created by working groups may at times describe and/or manipulate entities  
344 and attributes that are defined by the information model. As mentioned in Section 1.3, these  
345 working groups may define a data model to represent the information model in these  
346 specifications.

### 347 3.3 DMTF

348 The DMTF is the ultimate information model librarian—i.e., it maintains the information models  
349 created not only in the DMTF and OGF, but also in other standards bodies. The result of the  
350 information model developed by the RM design team is given to the DMTF for inclusion in CIM.  
351 The interplay between the OGF and DMTF is discussed in detail in Section 4.

## 352 4. Standardization Steps

353 This section analyzes the links between the standardization processes of OGF and DMTF during  
354 the development and review of information model profiles.

### 355 4.1 Review Process

356 As stated above, the DMTF is the ultimate librarian of the models, and so extensions have to  
357 pass through its standardization process. However, it has been deemed desirable to pass these  
358 extensions through the OGF process also, which creates links between the two.

359 The DMTF standardization process is described in [12], and can be summarized as follows. A  
360 WG and the Technical Committee (TC) may approve the release of Work in Progress as such or  
361 as Preliminary Standards. The recipients may be another WG, the DMTF membership, an  
362 Alliance Partner (e.g. the OGF) or the general public (Preliminary Standards released to the  
363 general public require also DMTF board approval). Feedback may be received on Preliminary  
364 Standards. If there is implementation experience from two independent implementations by two  
365 different parties, the TC takes the schema to the Final Standard phase (however, demonstration  
366 of interoperability between them is not needed).

367 The CIM schema is released in a slightly different process from the one above. Two versions of  
368 the schema are released simultaneously: the “Final Schema,” composed of CIM classes released  
369 as Final standards, and the “Experimental Schema,” which contains also classes that are still at  
370 the Preliminary standard stage. The classes in the Experimental Schema that are not Final are  
371 tagged as Experimental.

372 The extensions created in collaboration between the OGF and the DMTF can be sent for public  
373 comment in the OGF and made a Published Work in Progress in the DMTF to receive feedback  
374 from both standards bodies. These review periods may be simultaneous or they may overlap, but  
375 they do not need to. Collaboration between the OGF and DMTF is essential to avoid major  
376 changes being proposed in one of the standards bodies. The details on how to merge the  
377 feedback have to be analyzed on a case-by-case basis, but may require a repetition of the public  
378 comment process especially in case of major changes or if the reviews don't overlap. Once  
379 feedback is addressed, the specification can be released as a Informational Document or  
380 Proposed Recommendation in the OGF and as a Preliminary Standard in the DMTF. Finally,  
381 implementation experience can make the extensions a Final Standard in the DMTF.

### 382 4.2 Status Type and Adoption Level

383 The information model profiles contain both submitted extensions and existing CIM schema. This  
384 creates a relationship between the Status and Adoption Levels for OGSA profiles [11] and the  
385 standardization status in the DMTF, which is discussed in this section. OGSA Informational  
386 Profiles are not discussed here since they can be created for any level of Status and Adoption.

387 DMTF standards and OGSA profiles are related as follows. DMTF Published Work in Progress  
388 and Preliminary Standards have a Status of “Evolving Institutional” standard and an Adoption  
389 level of (at least) “Unimplemented”. Final Standards have a Status of “Institutional” standard and  
390 an Adoption level of (at least) “Implemented”—not “Interoperable”, since the implementation  
391 experience does not involve interoperability. So while the OGSA profile and DMTF standards are

392 aligned on the status, they are not on the adoption level, and the latter becomes a key  
393 requirement for information model profiles. The requirements for each kind of information model  
394 profile then become:

- 395 • Recommended information model profile as Proposed Recommendation:
  - 396 ○ Status: DMTF documents may have Work in Progress, Preliminary or Final standard  
397 status; CIM classes may have any status (Experimental or Final).
  - 398 ○ Adoption Level: DMTF documents and CIM classes have an adoption level of  
399 “Interoperable”.
- 400 • Recommended information model profile as Grid Recommendation:
  - 401 ○ Status: DMTF documents and all CIM classes must have Final standard status. Once  
402 these become Preliminary Standards in the DMTF, interoperability (already reached  
403 above) makes them a Final standard since there is enough implementation experience.
  - 404 ○ Adoption Level: DMTF documents and CIM classes must have an adoption level of  
405 “Community”.

406 As for any OGSA profile, the versions of specifications referenced directly or indirectly by an  
407 information model profile must be consistent. All specifications must refer to the same major  
408 version of the CIM schema. For CIM classes, all specifications must refer to the same version of  
409 a given class as specified by the Version qualifier of this class. This means that different  
410 specifications may refer to different revisions of the CIM schema (e.g., 2.10 and 2.11) as long as  
411 the same version of each class is used. This eases the creation of an information model profile,  
412 since the schema is updated often and references to different revisions can easily happen. This  
413 sort of consistency should not be difficult to reach since revisions of the CIM schema only bring  
414 backwards-compatible changes.

### 415 4.3 Change Requests

416 Additions or changes to the CIM schema and to DMTF standard documents should be sent to the  
417 DMTF as Change Requests (CRs), which is the mechanism used in the DMTF for change control  
418 [12]. A CR may be sent to the related DMTF WG by a DMTF member (working as OGF liaison)  
419 or by an Alliance Partner (the OGF). As previously stated, the information model profile is  
420 submitted to the DMTF as the change request document.

421 CRs are discussed and approved by the WG and then sent to the TC. The TC may approve the  
422 CR or send it back to the WG with comments. CRs approved by the TC get reflected in DMTF  
423 standards.

## 424 5. Security Considerations

425 There are two security aspects in resource management that apply to information models. The  
426 first aspect is secure management, i.e. using the security mechanisms on management tasks.  
427 Management should be able to ensure its own integrity and to follow access control policies of the  
428 owners of resources and VOs.

429 Access to the information described by the information model may need to be secured with  
430 mechanisms such as authorization and encryption. Access to the information may also be  
431 restricted to certain users or sites. However, these considerations are part of the data model and  
432 thus out of scope of this document, which focuses on information models.

433 Access to the information model may be restricted in different granularities: an instance, a class  
434 or a property or method. Such restrictions have to be considered during the development of the  
435 information model.

436 The second aspect is the management of security: the security infrastructure must be  
437 manageable; this includes the management of authentication, authorization, access control, VOs  
438 and access policies. The management of security is an important OGSA functionality, and

439 information models for user management, certificates, etc. may be needed for entities and  
440 services related to security.

441 It must be noticed that all considerations above apply not only to manageability interfaces but  
442 also to functional interfaces.

## 443 **6. Contributors**

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453 document.

## 454 **7. Glossary**

455 Section 1.1 explains some of the nomenclature used in this document. For the meaning of other  
456 terms, please refer to the OGSA glossary [2].

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## 520 Appendix A: Background Information on CIM

521 CIM provides a common definition of management information for systems, networks,  
522 applications and services, and allows for vendor extensions. As mentioned above, CIM itself is  
523 only of the model semantics; CIM and its data models and protocols are known as WBEM (Web-  
524 Based Enterprise Management). CIM includes models (schemas) for the following areas<sup>1</sup>:

---

<sup>1</sup> The work on JSIM (Job Submission Information Model, defined by GGF's CGS-WG) was added to the schemas of multiple areas.

- 525 • Core: high-level abstractions (logical and physical elements, collections)
- 526 • Physical: things that can be seen and touched (e.g., physical package, rack and location)
- 527 • System: computer systems, operating systems, file systems, processes, jobs, diagnostic  
528 services, etc.
- 529 • Device: logical functions of hardware (e.g., battery, printer, fan, network port and storage  
530 extent)
- 531 • Network: services, endpoints/interfaces, topology, etc.
- 532 • Policy: if/then rules and their groupings and applicability
- 533 • User and Security: identity and privilege management, white/yellow page data, RBAC (Role-  
534 Based Access Control), etc.
- 535 • Applications and Metrics: deployment and runtime management of software and software  
536 services
- 537 • Database: properties and services performed by a database (addresses database  
538 components, backing storage, status and statistics)
- 539 • Event: notifications and subscriptions
- 540 • Interoperability: management of the Web-Based Enterprise Management (WBEM)  
541 infrastructure
- 542 • Support: help desk knowledge exchange and incident handling
- 543 • Security Protection and Management: notifications for and management of intrusion  
544 detection, firewall, anti-virus and other security mechanisms
- 545 • Block and file storage
- 546 • Application Server: updates JSR77's CIM mapping, for managing the J2EE environment
- 547 • New work in the areas of Behavior and State (modeling state and transitions), and  
548 virtualization.
- 549 CIM as a whole is defined in several places:
  - 550 • The definition of the CIM schema (the model itself) is at <http://www.dmtf.org/standards/cim>.  
551 The definition is composed of the UML model (available in PDF and Visio formats) and MOF  
552 (Managed Object Format) files. The latter contains a textual description of model, with:
    - 553 ○ A full definition of the structure of the model (structure, classes, properties, metadata,  
554 etc.) which can be input to CIM software as the definition of the model
    - 555 ○ Human-readable explanations of the classes, properties and methods
  - 556 • The conceptual definition of CIM, including the meta-model, mapping to other information  
557 models, etc. is in [10].
  - 558 • Profiles constrain the CIM schema and give further details on its usage for specific areas  
559 such as record logs, power supplies or boot control. This is needed because the CIM  
560 schema contains a generic explanation of the model but not enough detail on how to use it  
561 for each area. For instance, a profile specifies which classes and properties are used for the  
562 given area, and which classes are linked by which associations. It can also give a subsets  
563 of the states specified in the schema that apply to this area, and links this subset to the  
564 behavior or the managed entities.
  - 565 • White papers also give additional information on the model and its usage for specific areas.
- 566 There are multiple mechanisms in CIM to map other information models to CIM. Currently there  
567 are mappings from CIM to SMBIOS, IETF MIBs, DMI MIFs, TMF (TeleManagement Forum)  
568 models, JSR77, and others.

569 CIM is updated 3 to 4 times a year. Starting in CIM v2.10, the schema is divided in “Final” and  
570 “Experimental” parts (the latter contain the Final and Preliminary parts of the schema). These  
571 frequent updates do not mean that the model is unstable – changes are backward compatible,  
572 usually consisting of additions on areas under development, which recently have been mainly  
573 storage management and server management. Even a major version-up of the model is  
574 backward compatible by mapping the new version to the previous one using the mechanisms to  
575 map to other information models.

576 CIM is one of the standards being created by the DMTF (Distributed Management Task Force).  
577 The DMTF is “the industry organization leading the development of management standards and  
578 integration technology for enterprise and Internet environments”. DMTF standards provide  
579 common management infrastructure components for instrumentation, control and communication  
580 in a platform-independent and technology-neutral way. The DMTF has more than 3,000 active  
581 participants. As of March 2007 there are 110 member companies, including most industry  
582 leaders in all areas of IT. There are also 14 alliance partner members (other organizations that  
583 collaborate with the DMTF), the OGF being one of them. There is also the Academic Alliance  
584 membership, a free membership for accredited institutions of higher learning, with 36 members.  
585 Academic Alliance Members have access to the DMTF members-only Web pages and member  
586 email lists, and are eligible to participate in DMTF working groups, in the DMTF Marketing and  
587 Technical Committees as a non-voting member. Every year the DMTF has invited all of its  
588 Academic Alliance Members to submit a paper on their work with DMTF standards, and a winner  
589 chosen by the DMTF Board (see <http://www.dmtf.org/education/academicalliance/> for a list of  
590 papers submitted). Finally, the DMTF can have individual members who have to be sponsored  
591 by a member company. These multiple classes of membership allow most, if not all, active  
592 members of the OGSA-WG and related WGs can have access to information in the DMTF.

593 There has been collaboration on CIM between the GGF and the DMTF with many results:

- 594 • JSIM (Job Submission Information Model, GFD-I.028) was an extension of CIM for batch  
595 jobs created in the CGS-WG (CIM Grid Schema WG). It has been contributed to the DMTF,  
596 and is present in CIM 2.10.
- 597 • JSDL 1.0 bases its definition of a number of types (such as Operating System types) on CIM.
- 598 • DAIS-WG collaborated with the DMTF on the creation of SRIM (Software Resource  
599 Information Model) extensions.

## 600 **Appendix B: A Brief Technical Introduction to CIM**

601 This appendix gives a brief technical explanation of CIM that is only complete enough for the  
602 understanding of CIM-related OGSA documents, especially the diagrams. For a more detailed  
603 explanation, there is a very complete tutorial on the DMTF Web site (see  
604 <http://www.dmtf.org/education>). There are also books that give a good introduction to CIM,  
605 including some of its practical aspects [13].

606 Entities in CIM are represented in classes which have a name, and zero or more properties and  
607 methods. Properties are attributes of the entity that a class represents (e.g., CreationDate of a  
608 Directory in Figure 1). Methods define actions that can be performed in an instance of a class  
609 (e.g., start, stop, reset). The classes in Figure 1 do not contain any methods.

610 CIM is represented graphically in UML diagrams with extensions. CIM classes with names in  
611 italic font in diagrams are abstract, and are not meant to be instantiated. There are three different  
612 links between classes, represented with different colors in the UML diagrams:

- 613 • Inheritance: CIM is an object-oriented model with single inheritance, which is denoted by  
614 blue lines in the diagrams.
- 615 • Associations: these are links that show a relationship between classes in the schema,  
616 denoted by red lines. An instance of an association contains “pointers” to the instances of  
617 the classes it links. Associations usually link two instances, but can be n-ary (e.g., for  
618 devices connected to a SCSI bus). Interestingly, an association formally defined as a CIM

619 class. Consequently, it is identified by a name, thus a command in the data model to  
620 enumerate all the instances of a class can also be applied to associations, which can be  
621 very useful for instance to traverse the model for discovery. Also, being a class, an  
622 association may have properties (other than the “pointers”) and methods, but in practice  
623 rarely do.

624 • Aggregations: this is a form of association used for containment or part/whole relationships,  
625 and denoted by green lines in the diagrams. It contains a “diamond” shape on the side of  
626 the containing class. A stronger form of association, defined in UML as *composition*,  
627 requires that the contained part exists in at least one of the aggregations. Compositions are  
628 shown by a filled diamond or a diamond and a dot. For instance, FileStorage in Figure 1 is a  
629 composition, which means that a Directory has to exist in at least one FileSystem.

630 It must be noticed that associations and aggregations can be used not only between the classes  
631 they link in the diagrams, but also their sub-classes. A somewhat extreme example of this is the  
632 ConcreteDependency association, which links ManagedElement (the top class of CIM) to itself.  
633 ConcreteDependency can thus be used to link any two sub-classes of ManagedElement, i.e., any  
634 two classes of the CIM schema.

635

636